

STATE OF OKLAHOMA

CDBG-DISASTER RECOVERY ALLOCATION (\$93.7 MILLION)

AMENDED ACTION PLAN – ROUND / REVISION #9 – 2/23/16

The State is required to submit an Action Plan Amendment and obtain approval by the US Department of Housing and Urban Development (HUD) before it can receive any portion of the requested disaster funding. This Action Plan Amendment describes the programs and activities for which the State intends to expend the disaster funding in compliance with the federal requirements set forth in the Federal Register.

To date, the Oklahoma Department of Commerce / Community Development (ODOC/CD) has officially received two allocations (\$10.6 & \$83.1 Million) of CDBG Disaster Recovery funding (CDBG-DR). Collectively, these two allocations total \$93.7 million in disaster related funding being provided to the State of Oklahoma from HUD.

The first allocation (\$10.6 Million) was released by HUD on March 5, 2013, in the Federal Register at Vol. 78, No. 43, Page 14329 entitled: “Allocations, Common Application, Waivers and Alternative Requirements for Grantees Receiving Community Development Block Grant (CDBG) Disaster Recovery Funds in Response to Hurricane Sandy” (FR-5696-N-01); April 19, 2013 in the Federal Register at Vol. 78, No. 76, page 23578 entitled: “Clarifying Guidance, Waivers and Alternative Requirements for Hurricane Sandy Grantees in Receipt of Community Development Block Grant Disaster Recovery Funds” (FR-5710-N-01); and December 16, 2013 in the Federal Register at Vol. 78, No. 241 page 76154 “Allocations, Waivers, and Alternative Requirements for Grantees Receiving Community Development Block Grant Disaster Recovery Funds in Response to Disasters Occurring in 2013” (FR-5696-N-07) (collectively, the “Federal Register Notice”).

The second allocation (\$83.1 Million) was released by HUD on June 3, 2014, in the Federal Register at Vol. 79, No. 106, Page 31964 in a notice entitled: “Second Allocation, Waivers, and Alternative Requirements for Grantees Receiving Community Development Block Grant Disaster Recovery Funds in Response to Disasters Occurring in 2013”.

Pursuant to these aforementioned Federal Register Notices and as outlined in the State’s original CDBG-DR Action Plans (1st Allocation, \$10.6 Million & 2nd Allocation \$83.1 Million) submitted to HUD, the State

has reserved the right to “tranche” or segment the CDBG-DR allocation in order to enable the completion of large infrastructure projects under taken by the disaster recovery funding. Based on this ‘tranche’ methodology, the State now must update the requested tranche amounts indicated in the State’s original CDBG-DR Action Plans submitted to HUD.

Although this Action Plan Amendment identifies the State’s revised tranche request for the expenditure of disaster recovery funding, the plan fully complies with the Federal Register Notices and describes the State’s intended use of all of the disaster funding. It is important to note that the amount of the disaster funding is subject to increase, reduction or other modification by Congress, including, without limitation, an act making permanent the impact of sequestration. All amounts based on the amount of the disaster funding set forth in the Federal Register Notice are subject to change consistent with any change in the amount of the disaster funding.

ODOC/CD reserves the right to adjust any of the CDBG-DR funding to ensure the maximum utilization of funds. Such adjustments would be in conjunction with unforeseen events such as the cancellation of projects; projects completed under budget; or from funds designated but not allocated. These adjustments may be used to offset any unforeseen eligible project cost increases such as in the case of construction. Such adjustments, if made available by ODOC/CD, shall be the minimum amount necessary to fund projects efficiently. ODOC/CD will make adjustments as necessary using funding priorities outlined under the earlier published Action Plans.

In accordance with the Federal Register Notice, Action Plans must provide an assessment of the need for the disaster funding. As part of this compliance directive, each Action Plan contains a table of disaster related categories along with the requested funding amounts. It should be noted that these numbers are based solely off of COST ESTIMATES at the time of Action Plan submission and HUD approval. Final project cost estimates can fluctuate and are subject to a variety of factors. No formal contract awards are made by ODOC/CD before HUD has formally approved the requested round’s funding. It is not until the actual contract is awarded and the project has completed the regulatory steps such as procurement that the ACTUAL activity cost will be known.

The following table illustrates the State’s requested funding revision at this time. Please note that the following tables account for the collective \$93.7 million in State CDBG-DR funding. It should be understood that the requested funds for this round of funding as illustrated in the table below are based solely off of preliminary COST ESTIMATES at the time of submission to HUD. The table below reflects the most current

CDBG DR category funding amounts. The table is updated each time a request for an additional round of funding is submitted. Each numbered revision submitted to HUD for approval is meant to supersede the earlier category totals in the earlier published Action Plan.

STATE OF OKLAHOMA: CDBG-DR Allocations (\$93.7 Million)		
ROUND / REVISION #9 AS OF FEBRUARY 23, 2016		
Activity	Round / Revision #9 Request	Total Allocation: <i>NOTE - Reflects Tranche Requests & Budget Modifications to Date.</i>
Housing (Owner-Occupied and Multi-family Housing)	\$0.00	\$6,294,334.89
Infrastructure	\$10,293,600.00	\$48,815,395.57
Resiliency & Mitigation	\$0.00	\$19,829,522.01
Public Facilities	\$0.00	\$0.00
Economic Revitalization	\$0.00	\$0.00
Planning	\$0.00	\$1,632,811.58
Administration	\$408,808.00	\$2,859,734.45
TOTAL:	\$10,702,408.00	\$79,431,798.50

STATE OF OKLAHOMA: CDBG-DR Tranche Allocation History		
(\$10.6 & \$83.1 Million = \$93.7 Million)		
Round / Funding Request #	Allocation	HUD Approval Date
Round #1 (Initial Plan)	\$4,246,016.00	4/29/2014
Round #2	\$1,980,000.00	12/11/2014
Round #3	\$16,661,243.00	12/15/2014
Round #4	\$16,838,375.95	6/11/2015
Round #5	\$1,355,500.00	7/21/2015
Round #6	\$8,144,552.05	10/16/2015

Round #7	\$10,003,703.50	12/11/2015
Round #8	\$9,500,000.00	1/21/2016
TOTAL:	\$68,729,390.50	

The following is a list of CDBG Disaster Recovery specific funding requests that are included under this newest allocation.

BUDGET ADJUSTMENT:

1. ROUND #3 - *The City of Mannford (OKGrants 00021) is requesting approval of a budget modification moving \$110,000.00 from the Administration category to the Housing category for their current CDBG DR senior housing project. The total housing allocation will then be \$5,110,000.00 and the remaining administration allocation will be \$40,000.00.*

2. ROUND #2 & #3 – *The City of Oklahoma City (OKGrants 00005) submitted a budget amendment to reduce Street Reconstruction (Round 2) by \$178,307.37; reduce Deep Fork Creek Drainage Study (Round 3) by \$4,424.21; reduce Drainage Improvements (Round 3) \$42,811.58. Additionally, Oklahoma City submitted budget amendment to increase funding for Downtown Drainage Study (Round 3) by \$47,235.79 and Drainage Improvement Project (Round 2) by \$178,307.37.*

3. ROUND #7 – *The City of Bristow (OKGrants 00098) is requesting approval of a budget modification moving \$7,489.50 from the Administration category to Equipment with a new total of \$257,139.50. There will be no Administration for the project after this move.*

4. ROUND #7 - *The City of Bristow (OKGrants 00103) is requesting approval of a budget modification moving \$5,880.00 from the Administration category to infrastructure (Streets) with a new total of \$201,880.00. There will be no Administration for the project after this move.*

TRANCHE ALLOCATION:

1. ROUND #9 – *Tranche Request: The State is requesting \$100,000.00 in Administration of CDBG DR Round #9 funding.*

2. ROUND #9 – *Tranche Request: The City of Norman (OKGrants 00064) is requesting a total of \$10,602,408 of funding (Infrastructure and Administration) to be submitted in coordination with*

Oklahoma Department of Commerce Round #9. These funds are for the construction costs to be incurred for five of the seven projects that have been approved under application DR-2014-NORMAN-CI-00064. Funding for all seven projects (\$721,312) allowed for survey, design, environmental, etc. in preparation for the bidding of the projects. Tranche Two includes construction, construction management, etc. for each of the projects.

The seven projects were aligned into three work groups determined by their proximity in location to each other and will be bid by individual projects but awarded as the lowest and best bid total of the group. Provision of funding from Round #9 to the Tranche Two for these five projects (Group One \$5,976,060 and Group Two \$4,626,348) will allow for bidding and construction of these projects. It is anticipated that the remaining two projects (Group Three) will be ready to access additional Tranche funding in late summer 2016.

The environmental process was included in the earlier allocation is complete for all seven projects. Check Plans for 60% design (Groups One and Two) were submitted and reviewed by the City of Norman in early January. Revisions were made and the projects now are at the 80% complete stage for these five projects with expectation that these two groups will be ready to bid at the same time as the Round #9 funding is approved.