

STATE OF OKLAHOMA

CDBG-DISASTER RECOVERY ALLOCATION (\$93.7 MILLION)

AMENDED ACTION PLAN – ROUND / REVISION #10 – 5/16/16

The State is required to submit an Action Plan Amendment and obtain approval by the US Department of Housing and Urban Development (HUD) before it can receive any portion of the requested disaster funding. This Action Plan Amendment describes the programs and activities for which the State intends to expend the disaster funding in compliance with the federal requirements set forth in the Federal Register.

To date, the Oklahoma Department of Commerce / Community Development (ODOC/CD) has officially received two allocations (\$10.6 & \$83.1 Million) of CDBG Disaster Recovery funding (CDBG-DR). Collectively, these two allocations total \$93.7 million in disaster related funding being provided to the State of Oklahoma from HUD.

The first allocation (\$10.6 Million) was released by HUD on March 5, 2013, in the Federal Register at Vol. 78, No. 43, Page 14329 entitled: “Allocations, Common Application, Waivers and Alternative Requirements for Grantees Receiving Community Development Block Grant (CDBG) Disaster Recovery Funds in Response to Hurricane Sandy” (FR-5696-N-01); April 19, 2013 in the Federal Register at Vol. 78, No. 76, page 23578 entitled: “Clarifying Guidance, Waivers and Alternative Requirements for Hurricane Sandy Grantees in Receipt of Community Development Block Grant Disaster Recovery Funds” (FR-5710-N-01); and December 16, 2013 in the Federal Register at Vol. 78, No. 241 page 76154 “Allocations, Waivers, and Alternative Requirements for Grantees Receiving Community Development Block Grant Disaster Recovery Funds in Response to Disasters Occurring in 2013” (FR-5696-N-07) (collectively, the “Federal Register Notice”).

The second allocation (\$83.1 Million) was released by HUD on June 3, 2014, in the Federal Register at Vol. 79, No. 106, Page 31964 in a notice entitled: “Second Allocation, Waivers, and Alternative Requirements for Grantees Receiving Community Development Block Grant Disaster Recovery Funds in Response to Disasters Occurring in 2013”.

Pursuant to these aforementioned Federal Register Notices and as outlined in the State’s original CDBG-DR Action Plans (1st Allocation, \$10.6 Million & 2nd Allocation \$83.1 Million) submitted to HUD, the State

has reserved the right to “tranche” or segment the CDBG-DR allocation in order to enable the completion of large infrastructure projects under taken by the disaster recovery funding. Based on this ‘tranche’ methodology, the State now must update the requested tranche amounts indicated in the State’s original CDBG-DR Action Plans submitted to HUD.

Although this Action Plan Amendment identifies the State’s revised tranche request for the expenditure of disaster recovery funding, the plan fully complies with the Federal Register Notices and describes the State’s intended use of all of the disaster funding. It is important to note that the amount of the disaster funding is subject to increase, reduction or other modification by Congress, including, without limitation, an act making permanent the impact of sequestration. All amounts based on the amount of the disaster funding set forth in the Federal Register Notice are subject to change consistent with any change in the amount of the disaster funding.

ODOC/CD reserves the right to adjust any of the CDBG-DR funding to ensure the maximum utilization of funds. Such adjustments would be in conjunction with unforeseen events such as the cancellation of projects; projects completed under budget; or from funds designated but not allocated. These adjustments may be used to offset any unforeseen eligible project cost increases such as in the case of construction. Such adjustments, if made available by ODOC/CD, shall be the minimum amount necessary to fund projects efficiently. ODOC/CD will make adjustments as necessary using funding priorities outlined under the earlier published Action Plans.

In accordance with the Federal Register Notice, Action Plans must provide an assessment of the need for the disaster funding. As part of this compliance directive, each Action Plan contains a table of disaster related categories along with the requested funding amounts. It should be noted that these numbers are based solely off of COST ESTIMATES at the time of Action Plan submission and HUD approval. Final project cost estimates can fluctuate and are subject to a variety of factors. No formal contract awards are made by ODOC/CD before HUD has formally approved the requested round’s funding. It is not until the actual contract is awarded and the project has completed the regulatory steps such as procurement that the ACTUAL activity cost will be known.

The following table illustrates the State’s requested funding revision at this time. Please note that the following tables account for the collective \$93.7 million in State CDBG-DR funding. It should be understood that the requested funds for this round of funding as illustrated in the table below are based solely off of preliminary COST ESTIMATES at the time of submission to HUD. The table below reflects the most current

CDBG DR category funding amounts. The table is updated each time a request for an additional round of funding is submitted. Each numbered revision submitted to HUD for approval is meant to supersede the earlier category totals in the earlier published Action Plan.

STATE OF OKLAHOMA: CDBG-DR Allocations (\$93.7 Million)		
ROUND / REVISION #10 AS OF MAY 16, 2016		
Activity	Round / Revision #10 Request	Total Allocation: <i>NOTE - Reflects Tranche Requests & Budget Modifications to Date.</i>
Housing (Owner-Occupied and Multi-family Housing)	\$0.00	\$6,294,334.89
Infrastructure	\$4,353,851.00	\$53,169,246.57
Resiliency & Mitigation	\$0.00	\$19,829,522.01
Public Facilities	\$0.00	\$0.00
Economic Revitalization	\$0.00	\$0.00
Planning	\$0.00	\$1,632,811.58
Administration	\$150,000.00	\$3,009,734.45
TOTAL:	\$4,503,851.00	\$83,935,649.50

STATE OF OKLAHOMA: CDBG-DR Tranche Allocation History		
(\$10.6 & \$83.1 Million = \$93.7 Million)		
Round / Funding Request #	Allocation	HUD Approval Date
Round #1 (Initial Plan)	\$4,246,016.00	4/29/2014
Round #2	\$1,980,000.00	12/11/2014
Round #3	\$16,661,243.00	12/15/2014
Round #4	\$16,838,375.95	6/11/2015
Round #5	\$1,355,500.00	7/21/2015
Round #6	\$8,144,552.05	10/16/2015

Round #7	\$10,003,703.50	12/11/2015
Round #8	\$9,500,000.00	1/21/2016
Round #9	\$10,702,408.00	3/11/2016
TOTAL:	\$79,431,798.50	

The following is a list of CDBG Disaster Recovery specific funding requests that are included under this newest allocation.

TRANCHE ALLOCATION:

1. ROUND #10 – *Tranche Request: The City of Oklahoma City is requesting another allocation to their Community Development Block Grant Disaster Recovery grant associated with the Draper Water Treatment Plant project. The City is requesting the remaining \$4,353,851 funding for construction activities necessary to complete the approved electrical loop and emergency power generation facility improvements and \$150,000 for General Program Administration. The total request amounts to \$4,503,851.*

The status on prior contracts associated with ODOC's \$83.1 million allocation for improvements at the Draper Water Treatment Plant are as follows:

- *The City of Oklahoma City has contracted with Carollo for engineering and project management on all proposed improvements at the Draper Water Treatment Plant.*
- *The City has contracted with Lippert Brothers and Caterpillar for the purchase and delivery of three emergency power generators under WT-0148. The contract was awarded in October 2015.*
- *The City has contracted with Wynn Construction to provide the ductbank system for installing the electrical loop under WT-0147. The contract was awarded December 2015.*
- *The City has awarded WT-0138 to Wynn Construction for the construction of the generator building and ancillary facilities for emergency power generator project.*
- *The City has bid WT-0137 for electrical loop and ductbank system for improved power management at the plant.*