



Consolidated Annual Performance Evaluation Report

2018

900 N. Stiles Ave.
Oklahoma City, OK 73104



OKLAHOMA
DEPARTMENT of COMMERCE

CR-05 - Goals and Outcomes

Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)

This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.

The state continues to address the four major priorities under the Community Development Block Grant Program 1. Water and wastewater projects, 2. Economic Development, 3. Public Facilities (not W/S projects) and 4. Planning and Capacity building.

Approximately 50% of all funds go toward addressing water and wastewater projects. Higher consideration is given to those communities that are under a consent order from the Oklahoma Department of Environmental Quality. Also higher consideration is given to those communities with higher low to moderate income percentages.

Approximately 20% of funds go toward addressing publicly owned infrastructure projects support and expanding business that will create jobs for low to moderate income persons. During this program year 149 jobs were created with 110 being LMI.

Also in 2018, ODOC staff reported 2 closed CDBG-DR projects with 3,928 persons served.

The State of Oklahoma's allocation of HOME funds for Program Year 2018 is \$8,356,961. The primary goal of the OHFA HOME Program is to retain and increase the supply of decent, safe, and sanitary affordable housing. OHFA furthers this goal by using the HOME Program financial resources as a catalyst in the development and strengthening of public partnerships with local governments, nonprofit organizations, private sector development entities, financial institutions, and debt and equity capital outlets. OHFA awarded 59% of the 2018 allocation to CHDOs to acquire, construct and rehabilitate housing throughout the State of Oklahoma. OHFA also awarded 3 downpayment assistance contracts to nonprofit organizations that should help 75 families purchase a home.

The 2018 HTF will be used to provide housing for Extremely Low income families or families at or below the Poverty Line, whichever is greater. The HTF will be targeted to address specific and critical needs in rental housing markets, including multiple geographic areas if at all possible.

Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee’s program year goals.

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
National Housing Trust Fund	Affordable Housing Homeless Non-Homeless Special Needs Extremely Low Income households	Housing Trust Fund: \$	Rental units constructed	Household Housing Unit	75	2	2.67%	20	2	10.00%

National Housing Trust Fund	Affordable Housing Homeless Non-Homeless Special Needs Extremely Low Income households	Housing Trust Fund: \$	Rental units rehabilitated	Household Housing Unit	90	39	43.33%	20	39	195.00%
State CDBG Disaster Recovery (Non Annual Funding)	Affordable Housing Non-Housing Community Development	CDBG Disaster Recovery: \$	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	1000	99625	9,962.50%			
State CDBG Disaster Recovery (Non Annual Funding)	Affordable Housing Non-Housing Community Development	CDBG Disaster Recovery: \$	Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit	Households Assisted	10000	8657	86.57%			
State CDBG Disaster Recovery (Non Annual Funding)	Affordable Housing Non-Housing Community Development	CDBG Disaster Recovery: \$	Rental units constructed	Household Housing Unit	40	73	182.50%			
State CDBG Program - Econ Dev	Non-Housing Community Development	CDBG: \$	Jobs created/retained	Jobs	100	230	230.00%	20	149	745.00%

State CDBG Program - Infrastructure	Non-Housing Community Development	CDBG: \$	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	250000	988276	395.31%	25000	421176	1,684.70%
State CDBG Program - Infrastructure	Non-Housing Community Development	CDBG: \$	Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit	Households Assisted	50000	66825	133.65%	10000	79248	792.48%
State CDBG Program - Planning	Non-Housing Community Development	CDBG: \$	Other	Other	100000	103506	103.51%	20000	25803	129.02%
State CDBG Program - State CDBG Admin/TA	Non-Housing Community Development	CDBG: \$	Other	Other	1	1	100.00%	1	1	100.00%
State ESG Program	Homeless	HOME: \$ / ESG: \$1644676	Tenant-based rental assistance / Rapid Rehousing	Households Assisted	1400	4460	318.57%	686	1073	156.41%
State ESG Program	Homeless	HOME: \$ / ESG: \$1644676	Homeless Person Overnight Shelter	Persons Assisted	5000	22187	443.74%	4065	5781	142.21%
State ESG Program	Homeless	HOME: \$ / ESG: \$1644676	Overnight/Emergency Shelter/Transitional Housing Beds added	Beds	0	0				

State ESG Program	Homeless	HOME: \$ / ESG: \$1644676	Homelessness Prevention	Persons Assisted	900	6539	726.56%	967	1576	162.98%
State HOME Program	Affordable Housing	HOME: \$	Rental units constructed	Household Housing Unit	300	325	108.33%	66	51	77.27%
State HOME Program	Affordable Housing	HOME: \$	Rental units rehabilitated	Household Housing Unit	50	55	110.00%	7	12	171.43%
State HOME Program	Affordable Housing	HOME: \$	Homeowner Housing Added	Household Housing Unit	0	0		0	0	
State HOME Program	Affordable Housing	HOME: \$	Homeowner Housing Rehabilitated	Household Housing Unit	0	0				
State HOME Program	Affordable Housing	HOME: \$	Direct Financial Assistance to Homebuyers	Households Assisted	300	300	100.00%	56	67	119.64%
State HOPWA Program	Non-Homeless Special Needs	HOPWA: \$	Housing for People with HIV/AIDS added	Household Housing Unit	61	55	90.16%	61	55	90.16%

Table 1 - Accomplishments – Program Year & Strategic Plan to Date

Assess how the jurisdiction’s use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.

Under the State's CDBG program the major priorities are Water and Wastewater projects, Economic Development, Other Public Facilities (not

water and wastewater projects) and Planning and Capacity Building. Strategically, the State allocates approximately 45 percent to Water and Wastewater projects, 20% toward economic development, 30% toward other public facilities and the remaining for local planning. With regards to water and wastewater projects, priority emphasis is given to those communities that are experiencing severe compliance problems as determined by Consent Orders issued by the Oklahoma Department of Environmental Quality. With regard to other projects, funds are targeted to the highest low to moderate income communities.

CR-10 - Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted).

91.520(a)

Table 2 – Table of assistance to racial and ethnic populations by source of funds

Narrative

Under the CDBG program most set-asides of funds are competitive. Under the competitive program one of the most important rating criteria is the utilization of Low to Moderate Income. Generally the poorer the community the more likely the community will get funded.

In order to achieve compliance with the Affirmative Marketing, Minority Outreach, and Fair Housing requirements at 24 CFR Parts 92.350 and 92.351, Written Agreements shall be executed between OHFA and all Program Awardees. Written Agreements shall prohibit discrimination on the basis of race, color, national origin, religion, sex, age, handicap, or familial status in connection with any activities funded with HOME Investment Partnerships Program assistance.

HOPWA Project Sponsors must adopt procedures to ensure that all persons who qualify for the assistance, regardless of their race, color, religion, sex, age, national origin, familial status, or handicap, know of the availability of the HOPWA program, including facilities and services accessible to persons with a handicap, and maintain evidence of implementation of the procedures.

CR-15 - Resources and Investments 91.520(a)

Identify the resources made available

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG	public - federal	13,922,990	12,409,635
HOME	public - federal	8,356,961	5,995,017
HOPWA	public - federal	327,506	266,886
ESG	public - federal	1,644,676	1,162,633
Housing Trust Fund	public - federal	3,000,000	2,878,104

Table 3 - Resources Made Available

Narrative

Typically other resources provided/matched with CDBG funds include: State appropriated funds from the Rural Economic Action Plan (REAP) and local funds from units of general local governments. Also funds from the USDA-Rural Development and revolving loan funds from the Oklahoma Water Resources Board.

HOME resources are to be used to address a project's financial gap. Applicants should consider that financial gaps can be very large, depending on the size of any given project. Therefore, the HOME Program may not be capable of filling all financial short falls without being combined with other gap resources. OHFA encourages the use HOME assistance in combination with other public and private resources, such as Rural Housing Incentive Districts, CDBG, AHP, LIHTC, Historic Tax Credits, USDA-RD, USHUD, foundation funds, and private capital to fulfill the financial needs of housing projects.

Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description
State of Oklahoma	100		State Geography

Table 4 – Identify the geographic distribution and location of investments

Narrative

The State of Oklahoma does not set aside reserved dollar amounts of assistance specifically for geographic areas within the State for CDBG, HOME, ESG or HOPWA programs. Rather each of these programs has its own distribution procedures that sometimes include specific requirements for projects

within the state. These programs cover all 77 Counties.

The HOME program funds projects on a continuous application process from all parts of the State, with the exception of the HUD-designated metropolitan Participating Jurisdictions of Oklahoma City, Tulsa, Lawton and Norman, which receive a direct annual allocation of HOME funds. Applicants must pass all threshold criteria and evaluation factors, including but not limited to project readiness, leverage, staff capacity, and prior contractor performance in the use of HOME program funds.

HOPWA funds are distributed statewide through an Invitation to Bid process. This process is open to or accessible to grassroots faith-based and other community organizations. Proposals are selected based upon inventiveness, community support, and must contain evidence of cooperation and collaboration with a network of public and private agencies.

The HTF program funds projects on a continuous application process for all parts of the State. Applicants must pass all threshold criteria and are awarded based on their scoring in the evaluation criteria.

Leveraging

Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.

CDBG-Proposed leverage must be directly related to any proposed project. CDBG projects are awarded "points" based on verified leverage. Leverage may consist of cash or in-kind contributions. Cash includes other federal/state grants and loans and local capital improvement funds set-aside for a specified purpose in a municipal or county budget. Cash also include the fair market value of land, building or materials portion of infrastructure improvements. In-kind includes the value of force account labor, voluntary labor (\$10.00 per hour) or the services and supplies provided by another entity.

All sources of construction or permanent financing, except HOME, paying development budget costs are eligible for leverage. However, assistance for homebuyers, such as first mortgages, is not considered leverage. Public and private resources, such as Rural Housing Incentive Districts, CDBG, AHP, AHTC equity, Historic Tax Credit equity, USDA-RHS, HUD, foundation funds, and private capital will be considered in the leverage analysis.

- All construction or permanent financing, public or private, except HOME, paying development budget costs (The maximum amount of a line of credit that will be calculated is the total development budget minus all other sources of construction funding.)
- The total equity provided to the development due to an award of Affordable Housing Tax Credits or from any other tax credits.
- Funds provided by the local governments and grants or loans from other sources.
- The value of donated labor, unskilled labor at \$10.00 per hour, and the value of skilled labor at a normal, accepted rate per hour. If using labor of any kind in leverage calculation, labor costs must be delineated from material costs.
- The value of land and/or a building donated or acquired for a development prior to the Application can count as leverage, but there must be an appraisal or tax assessment included in the Application to document its value.
- In order to count donated supplies or materials, only the documented value of the goods or materials will be considered. The expenses must be legitimately required by the program. The donor must provide a letter to confirm the amount.
- Discounts on supplies, materials, and professional services must be

documented. Original prices and discount calculations are required.

- In addition, the value of State and local taxes, charges or fees that are waived, foregone or deferred in a manner that achieves affordability of HOME-assisted developments may be counted as leverage.
- If used to help pay development budget costs, CHDO proceeds may be counted as leverage, but only if the proceeds were generated from a prior Project. CHDO proceeds to be earned from the Project for which the Applicant is applying will not be considered in the leverage calculation.

Fiscal Year Summary – HOME Match	
1. Excess match from prior Federal fiscal year	5,901,043
2. Match contributed during current Federal fiscal year	473,770
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	6,374,813
4. Match liability for current Federal fiscal year	528,399
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	5,846,414

Table 5 – Fiscal Year Summary - HOME Match Report

Match Contribution for the Federal Fiscal Year								
Project No. or Other ID	Date of Contribution	Cash (non-Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/Real Property	Required Infrastructure	Site Preparation, Construction Materials, Donated labor	Bond Financing	Total Match
14-1502	09/18/2017	0	0	0	0	125,000	0	125,000
14-1504	10/02/2017	0	0	0	0	125,000	0	125,000
15-1524	12/20/2017	0	0	0	0	3,150	0	3,150
15-1525	05/31/2016	0	0	0	0	48,620	0	48,620
15-1528	01/01/2018	0	0	0	0	172,000	0	17,200

Table 6 – Match Contribution for the Federal Fiscal Year

HOME MBE/WBE report

Program Income – Enter the program amounts for the reporting period				
Balance on hand at begin-ning of reporting period \$	Amount received during reporting period \$	Total amount expended during reporting period \$	Amount expended for TBRA \$	Balance on hand at end of reporting period \$
11,398	772,865	771,317	0	12,947

Table 7 – Program Income

Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period						
	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Contracts						
Dollar Amount	2,766,751	617	0	4,230	8,600	2,753,304
Number	83	1	0	2	2	78
Sub-Contracts						
Number	24	0	0	2	22	0
Dollar Amount	507,682	0	0	222,060	285,622	0
	Total	Women Business Enterprises	Male			
Contracts						
Dollar Amount	2,766,751	9,564	2,757,187			
Number	83	12	71			
Sub-Contracts						
Number	24	1	23			
Dollar Amount	507,682	220,000	287,682			

Table 8 - Minority Business and Women Business Enterprises

Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted						
	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	2	2	0	0	0	0
Dollar Amount	884,010	884,010	0	0	0	0

Table 9 – Minority Owners of Rental Property

Relocation and Real Property Acquisition – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition						
Parcels Acquired		7		636,785		
Businesses Displaced		0		0		
Nonprofit Organizations Displaced		0		0		
Households Temporarily Relocated, not Displaced		0		0		
Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Cost	0	0	0	0	0	0

Table 10 – Relocation and Real Property Acquisition

CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	One-Year Goal	Actual
Number of Homeless households to be provided affordable housing units	4,000	0
Number of Non-Homeless households to be provided affordable housing units	900	0
Number of Special-Needs households to be provided affordable housing units	55	0
Total	4,955	0

Table 11 – Number of Households

	One-Year Goal	Actual
Number of households supported through Rental Assistance	0	0
Number of households supported through The Production of New Units	50	51
Number of households supported through Rehab of Existing Units	35	12
Number of households supported through Acquisition of Existing Units	0	0
Total	85	63

Table 12 – Number of Households Supported

Discuss the difference between goals and outcomes and problems encountered in meeting these goals.

Under the States CDBG program no affordable housing projects have been funded. The State's HOME program biggest challenge is not enough funds to support the housing needs of the State. The HOME program awards are based on the area with the biggest need. The HOME program continues to face the biggest challenge in the State, a need for more rental housing.

Discuss how these outcomes will impact future annual action plans.

Under the States CDBG program no affordable housing projects have been funded. In the future, the State's HOME program will continue to award funds where there is the greatest need. A majority of the allocation will continue to be used on Rental housing. If that need changes, the State will change its future plans accordingly.

Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.

Number of Households Served	CDBG Actual	HOME Actual
Extremely Low-income	0	62
Low-income	0	165
Moderate-income	0	70
Total	0	297

Table 13 – Number of Households Served

Narrative Information

CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)

Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The State program funds a variety of organizations whose programs meet key needs of their communities. The State evaluates each Organization's success beginning with program descriptions submitted in grant applications through generating contracts and then monitoring the program to insure the steps described in the application and contract were successful and performance measures met.

Addressing the emergency shelter and transitional housing needs of homeless persons

The State program funds a variety of organizations whose programs meet key needs of their communities. The State evaluates each Organization's success beginning with program descriptions submitted in grant applications through generating contracts and then monitoring the program to insure the steps described in the application and contract were successful and performance measures were met.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

The State program funds a variety of organizations whose programs meet key needs of their communities. The State evaluates each Organization's success beginning with program descriptions submitted in grant applications through generating contracts and then monitoring the program to insure the steps described in the application and contract were successful and performance measures were met.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that

individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

The State of Oklahoma makes available a percentage of its HOME program funds to be paired with Continuum of Care funding. The state works closely with HUD C of C staff to make these projects work.

There are two HOPWA Project Sponsors that coordinate services with COC to meet the needs of all categories of homelessness individuals that meet the eligibility criteria under the HOPWA Program guidelines.

The State of Oklahoma encourages the pairing of Housing Trust Fund monies with Continuum of Care funding.

ESG: The State program funds a variety of organizations whose programs meet key needs of their communities. The State evaluates each Organization's success beginning with program descriptions submitted in grant applications through generating contracts and then monitoring the program to insure the steps described in the application and contract were successful and performance measures were met.

CR-30 - Public Housing 91.220(h); 91.320(j)

Actions taken to address the needs of public housing

OHFA is itself a public housing agency that administers the Section 8 Housing Choice Voucher Program on a Statewide basis. OHFA also monitors the needs and activities of the other public housing agencies in the State of Oklahoma.

Actions taken to encourage public housing residents to become more involved in management and participate in homeownership

Efforts to collaborate more extensively with PHAs within the State of Oklahoma are underway. There are a total of 104 PHAs and 99 currently fall within the jurisdiction of the State Consolidated Plan. The five remaining public housing agencies – located in Norman, Lawton, Tulsa, Oklahoma City, and Shawnee – fall under other participating jurisdictions.

PHAs in Oklahoma provide resident involvement and awareness activities in connection with property management and asset-building through homeownership. This is accomplished through such activities as:

- Having housing authority residents serve on each PHA's Board of Commissioners.
- Affording residents notice and comment periods concerning proposed policy changes governing property operations.
- Affording residents input and comment processes regarding resource use.

Additionally, the Residents Initiative Network of Oklahoma is a proposed initiative that will offer a statewide program to train PHA residents in:

- Accessing and utilizing the citizen participation processes offered by the Authorities;
- Resident rights, duties and responsibilities; and
- Transitioning from rental to homeownership. Various PHAs within the State promote homeownership to their resident base.

Homeownership fairs, credit counseling, and direct contact with mortgage lenders and credit reporting services are examples of homeownership resident initiatives. On a limited basis, some PHAs develop their own single-family units and work to transition residents into ownership.

Actions taken to provide assistance to troubled PHAs

HUD has advised OHFA that Choctaw Electric Cooperative Housing Authority and Housing Authority of the Town of Haney are troubled public housing authorities.

OHFA will work with HUD to address the problems they are facing. OHFA will work closely with HUD to provide technical assistance and oversight where necessary.

It is not anticipated that any HOME funds will be used to help the above housing authorities or any other troubled public housing authorities identified at a later date. The State of Oklahoma has not appropriated funds for this purpose, nor has it authorized OHFA to assume the federal government's role of subsidizing the operations of public housing agencies.

CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)

Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)

With regard to the CDBG and ESG programs the ODOC does not have jurisdictional authority to affect broad-based changes to a community's local ordinances. Additionally, from a statewide perspective the State Legislature is responsible for creating laws and regulations that may govern tax policies, fees and basic building codes, etc.

Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)

- The primary obstacle to meeting under-served needs is the lack of adequate funding. There are also some secondary obstacles with regard to the State's ability to coordinate resources in the most efficient and effective manner.

The State's strategy to overcome existing deficiencies in its housing and community institutional structure is based on education, outreach, and comprehensive planning. Consumers, providers, financiers, policy makers, advocates, and communities can benefit from increased cross-sector communication, dialogue, and education in understanding that economic factors are inextricably linked with meaningful solutions to the housing and community development needs and improved quality of life among the lower-income population.

Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)

Subrecipients are required to follow state and federal regulations which prohibit the use of lead based paint (LBP). Subrecipients are relied upon to advise households receiving federal assistance of all the applicable hazards involving lead based paint. Every purchaser of any resident built prior to 1978 is notified that such property may present exposure to lead-based paint that may place young children a risk of developing lead poisoning. The purchaser will be given the HUD-approved pamphlet Protect Your Family from Lead in Your HOME. The seller of any resident built prior to 1978 is to provide to the buyer any information on lead-based paint hazards from risk assessments or inspections. Both the seller and buyer must sign the disclosure form before the house is sold and/purchased that all parties have agreed to the LBP regulations.

The HOME and NHTF applicants are required to certify that all units in a Project assisted with HOME and

HTF comply with 24 CFR Part 35 regarding the lead-based paint requirements for HUD-assisted housing. Also in our HOME Implementation Manual we have a dedicated section to LBP. The Implementation Manual is available online at www.ohfa.org. During HOME and HTF monitoring visits, Staff check each unit to determine if the LBP requirements were met. The Monitoring Staff is check to make sure that the buyers of houses built prior to 1978 are given the LBP pamphlet and made aware of the dangers of LBP.

Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)

Within the CDBG program ODOC funds Economic Development projects. This program provides funding for communities to undertake publicly owned infrastructure to support a business that is expanding and committed to creating new jobs. Under the CDBG program at least 51% of the jobs created must be offered to persons who are of low to moderate income. ODOC has implemented an additional requirement which requires that the average of all jobs created must be at least 110% of the average county wage. Therefore, under this program low income persons/families are being hired into a better, higher paying job, which is usually higher than the average county wage.

The State of Oklahoma is committed to allocating appropriate resources with the goal of allowing its citizens the opportunity to reach self-sufficiency.

Two agencies of the State government are primarily identified for administering these programs: the Department of Human Services (DHS) and ODOC. It is clear, however, that many State agencies provide support for low-income clientele, including the Department of Education, the Department of Health, the Department of Mental Health and Substance Abuse, and the Department of Veterans Affairs.

Additionally, a wide range of nonprofit service providers offer various forms of assistance to those in need within their respective local service areas. Included in this group are such organizations as the American Red Cross, the Salvation Army, United Way-sponsored agencies, Community Action Agencies (CAAs), and churches of all denominations.

Actions taken to develop institutional structure. 91.220(k); 91.320(j)

Under the state's CDBG program most funding goes to small rural communities who lack the administrative capacity to implement CDBG project without help. ODOC has developed over the years a

strong relationship with the 11 designated Councils of Government. These regionally based organizations provide much needed administrative knowledge of the CDBG program to our rural communities. Additionally, ODOC requires all individuals who administer grants for a fee must be certified as CDBG grant administrator.

Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)

Under the current CDBG program housing projects are not funded. Therefore, no real coordination exists between public and private housing and social service agencies regarding the CDBG program.

Oklahoma has a thorough network of public and private nonprofit organizations that delivers housing and supportive service needs. Many federal as well as State resources are provided through these entities directly to populations with supportive needs.

To attract and incorporate the programs and products offered through the supportive services network with the provision of affordable housing, many public intervention and private affordable housing resource providers encourage the use of development partnerships in their project selection systems. The State intends to continue its coordination of appropriate supportive services with its affordable housing activities through the use of funding selection methodologies.

Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)

Under the State CDBG program grant recipients (units of general local government) must provide documentation of steps taken to affirmatively further fair housing, regardless of the type of CDBG activity funded by ODOC for each fiscal year the grant recipient has received a CDBG contract. The local government must demonstrate that it is affirmatively furthering fair housing on a continual basis, not merely as a requirement of spending CDBG dollars. Efforts should be made to identify discriminatory housing patterns and alleviate them by working with developer, landlords, realtors, residents and government agencies.

All Grantees of the HOME program must submit a copy of their Affirmative Fair Housing Marketing Plan for written agreements over 5 units. Grantees should use either HUD Form 935.2A (multi-family) or the OHFA form for Single Family Housing, including DPA.

HOPWA project sponsors must adopt procedures to ensure that all persons who qualify for the assistance, regardless of their race, color, religion, sex, age, national origin, familial status, or handicap, know of the availability of the HOPWA program, including facilities and services accessible to persons with a handicap, and maintain evidence of implementation of the procedures.

ESG: ESG program subrecipients (units of general local government or independent nonprofits) must provide documentation assuring they are affirmatively further fair housing, regardless of the type of ESG activity funded by ODOC for each fiscal year. The subrecipients must ensure that it is affirmatively furthering fair housing on a continual basis, not merely as a requirement of spending ESG dollars. Efforts should be made to identify discriminatory housing patterns and alleviate them by working with developers, landlords, realtors, residents and government agencies.

CR-40 - Monitoring 91.220 and 91.230

Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements

As the states ongoing responsibilities for the administration of the CDBG, HOME, HTF, HOPWA and ESG programs, ODOC/OHFA conducts comprehensive monitoring reviews of funded projects. These reviews assure that projects are carried out efficiently, effectively and in compliance applicable laws and regulations. Federal and state requirements reviewed include; project progress, national objectives, eligible uses, fair housing and equal opportunity, environmental review, labor standards, procurement, administrative and financial requirements, etc. On-site and desk monitorings are conducted. The State (ODOC/OHFA) has adopted a detailed monitoring guide for all their programs. These guides set for the entire process from notification of the sub-recipient, entrance conference, assessment process and and monitoring checklists, exit conference, monitoring write-up letter with findings and corrective action of the findings.

Citizen Participation Plan 91.105(d); 91.115(d)

Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.

The State has adopted a citizen participation and consultation process for the Consolidated Plan and CAPER. Because the applicable programs cover the entire State, announcements are sent primarily through the New Pioneer which is ODOCs Community Development newsletter. This new letter reaches all 600 communities, 77 Counties, Community Action Agencies, Public Housing Authorities, Council of Governments, and other state agencies. The New Pioneer reaches approximately 6000 email addresses. Plus ESG program announcements are released through the GovDelivery List serve and through Continuum of Care Networks. Additionally, applicable information is also placed on ODOCs website.

All applicable information about OHFA programs are placed on their website. OHFA also sends out mass emails to notify their grantees of allocation amounts, consolidated plan meetings and updates to the programs.

CR-45 - CDBG 91.520(c)

Specify the nature of, and reasons for, any changes in the jurisdiction's program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.

At this time within the CDBG program the State is not changing any of its overall program objectives. However the grant maximums for Water and Wastewater projects have been increased from \$350,000 to \$450,000. The grant maximum has not been increased in approximately 10 years. The increase is needed to accommodate the increase in construction costs of water and wastewater projects.

Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?

No

[BEDI grantees] Describe accomplishments and program outcomes during the last year.

CR-50 - HOME 91.520(d)

Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations

Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.

OHFA will conduct construction inspections in order to ensure that HOME funds are not being drawn down for work that has not been completed, work that has not been done according to the specifications of the Written Agreement, or costs that are ineligible for HOME funding.

- OHFA will inspect one hundred percent (100%) of the HOME Written Agreements as prescribed by HUD regulations and will inspect the low-income certification, the documentation the Awardee has received to support that certification, the rent records for Rental Projects and the home valuation limits for Homebuyer Projects.

OHFA inspected over 200 written agreements during program year 2018 that represent 500 units. The detail listing of all the contracts and the corresponding findings can be found on the monitoring list spreadsheet that is available for review.

Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)

In order to achieve compliance with the Affirmative Marketing, Minority Outreach, and Fair Housing requirements at 24 CFR Parts 92.350 and 92.351, written agreements shall be executed between OHFA and all program contractors. Written agreements shall prohibit discrimination on the basis of race, color, national origin, religion, sex, age, handicap, or familial status in connection with any activities funded with HOME Investment Partnerships Program assistance.

Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics

OHFA has received approximately \$700,000 of program income during 2018. Most of the program income generated is from projects combined with LIHTC funds. The \$700,000 of program income was generated by approx 15 projects. The program income is used before any new HOME funds are drawdown. OHFA maintains a program income re-use log that shows the activities that uses program income.

Describe other actions taken to foster and maintain affordable housing. 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 91.320(j)

Oklahoma has a thorough network of public and private nonprofit organizations that delivers housing and supportive service needs. Many federal as well as State resources are provided through these entities directly to populations with supportive needs.

To attract and incorporate the programs and products offered through the supportive services network with the provision of affordable housing, many public intervention and private affordable housing resource providers encourage the use of development partnerships in their project selection systems. The State intends to continue its coordination of appropriate supportive services with its affordable housing activities through the use of funding selection methodologies.

CR-55 - HOPWA 91.520(e)

Identify the number of individuals assisted and the types of assistance provided

Table for report on the one-year goals for the number of households provided housing through the use of HOPWA activities for: short-term rent, mortgage, and utility assistance payments to prevent homelessness of the individual or family; tenant-based rental assistance; and units provided in housing facilities developed, leased, or operated with HOPWA funds.

Number of Households Served Through:	One-year Goal	Actual
Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family	30	28
Tenant-based rental assistance	21	10
Units provided in permanent housing facilities developed, leased, or operated with HOPWA funds	0	5
Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds	4	7

Table 14 – HOPWA Number of Households Served

Narrative

The one-year goals were not met in the HOPWA program because more funds were used for housing stability by setting action plans plans to live in permanent dwellings.

CR-60 - ESG 91.520(g) (ESG Recipients only)

ESG Supplement to the CAPER in *e-snaps*

For Paperwork Reduction Act

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name	OKLAHOMA
Organizational DUNS Number	824700058
EIN/TIN Number	736017987
Identify the Field Office	OKLAHOMA CITY

Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance

North Central Oklahoma CoC

ESG Contact Name

Prefix Mr
First Name Marshall
Middle Name 0
Last Name Vogts
Suffix 0
Title Division Director

ESG Contact Address

Street Address 1 900 N. Stiles
Street Address 2 0
City Oklahoma City
State OK
ZIP Code 73104-3234
Phone Number 4058155339
Extension 0
Fax Number 0
Email Address marshall.vogts@okcommerce.gov

ESG Secondary Contact

Prefix
First Name
Last Name
Suffix
Title
Phone Number
Extension
Email Address

2. Reporting Period—All Recipients Complete

Program Year Start Date 04/01/2018
Program Year End Date 03/31/2019

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name: OKLAHOMA
City: OKLAHOMA CITY
State: OK
Zip Code: 73104, 3234
DUNS Number: 824700058
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 61674

Subrecipient or Contractor Name: GREAT PLAINS IMPROVEMENT FOUNDATION, INC
City: Lawton
State: OK
Zip Code: 73501, 2409
DUNS Number: 070845706
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 69641.68

Subrecipient or Contractor Name: COMMUNITY DEVELOPMENT SUPPORT ASSOCIATION
City: Enid
State: OK
Zip Code: 73701, 4670
DUNS Number:
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 33534

Subrecipient or Contractor Name: KIBOIS C.A.F., INC
City: Stigler
State: OK
Zip Code: 74462, 0408
DUNS Number:
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 83276.66

Subrecipient or Contractor Name: INCA COMMUNITY SERVICES, INC

City: Tishomingo

State: OK

Zip Code: 73460, 0068

DUNS Number:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 83276.67

Subrecipient or Contractor Name: NORTHEAST OKLAHOMA CAA, INC

City: Jay

State: OK

Zip Code: 74346, 0603

DUNS Number:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 128848.53

Subrecipient or Contractor Name: DEEP FORK COMMUNITY ACTION FOUNDATION, INC.

City: Okmulgee

State: OK

Zip Code: 74447, 5017

DUNS Number:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 80254

Subrecipient or Contractor Name: YOUTH SERVICES OF TULSA INC.

City: TULSA

State: OK

Zip Code: ,

DUNS Number:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 46840

Subrecipient or Contractor Name: CENTRAL OKLAHOMA COMMUNITY ACTION AGENCY

City: Shawnee

State: OK

Zip Code: 74801, 7019

DUNS Number: 094776655

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 29422.65

Subrecipient or Contractor Name: Community Service Council of Greater Tulsa

City: Tulsa

State: OK

Zip Code: 74119, 4402

DUNS Number:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 31400

Subrecipient or Contractor Name: Safenet Services, Inc

City: Claremore

State: OK

Zip Code: 74018, 0446

DUNS Number: 021111057

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 41372.68

Subrecipient or Contractor Name: Ada Homeless Shelter

City: Ada

State: OK

Zip Code: 74820, 3267

DUNS Number:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 80000

Subrecipient or Contractor Name: Southwestern Youth Services, Inc.

City: Altus

State: OK

Zip Code: 73522, 0175

DUNS Number: 833180664

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 55654.02

Subrecipient or Contractor Name: Youth & Family Services of Canadian County, Inc.

City: El Reno

State: OK

Zip Code: 73036, 9120

DUNS Number: 168582302

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 88118.5

Subrecipient or Contractor Name: Northern Oklahoma Youth Services Center and Shelter, Inc.

City: Ponca City

State: OK

Zip Code: 74601, 1108

DUNS Number: 197399348

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 37541.34

Subrecipient or Contractor Name: Stillwather Domestic Violence Services, Inc.

City: Stillwater

State: OK

Zip Code: 74075, 1439

DUNS Number: 627107543

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 37541.33

Subrecipient or Contractor Name: Community Action Resource & Development

City: Claremore

State: OK

Zip Code: 74017, 2106

DUNS Number:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 46638.4

Subrecipient or Contractor Name: Payne County Youth Services, Inc.

City: Stillwater

State: OK

Zip Code: 74076, 2647

DUNS Number: 168591501

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 37541.33

Subrecipient or Contractor Name: Food and Shelter, Inc.

City: Norman

State: OK

Zip Code: 73069, 5609

DUNS Number: 800338628

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 107590.5

Subrecipient or Contractor Name: Thunderbird Clubhouse

City: Norman

State: OK

Zip Code: 73071, 2967

DUNS Number: 28324650

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 74098.5

Subrecipient or Contractor Name: Marie Detty Youth and Family Services, Inc.

City: Lawton

State: OK

Zip Code: 73501, 4016

DUNS Number: 605081462

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 106860.66

Subrecipient or Contractor Name: DV of North Central Oklahoma

City: Ponca City

State: OK

Zip Code: 74601, 5600

DUNS Number: 193806148

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 33534

Subrecipient or Contractor Name: Seminole County DV Taskforce

City: Seminole

State: OK

Zip Code: 74868, 3158

DUNS Number: 021883207

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 31695.85

Subrecipient or Contractor Name: Stillwater Housing Development Corporation

City: Stillwater

State: OK

Zip Code: 74074, 4707

DUNS Number: 79929053

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 43534

CR-65 - Persons Assisted

4. Persons Served

4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	235
Children	218
Don't Know/Refused/Other	0
Missing Information	0
Total	453

Table 16 – Household Information for Homeless Prevention Activities

4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	331
Children	243
Don't Know/Refused/Other	0
Missing Information	0
Total	574

Table 17 – Household Information for Rapid Re-Housing Activities

4c. Complete for Shelter

Number of Persons in Households	Total
Adults	949
Children	672
Don't Know/Refused/Other	0
Missing Information	0
Total	1,621

Table 18 – Shelter Information

4d. Street Outreach

Number of Persons in Households	Total
Adults	17
Children	73
Don't Know/Refused/Other	0
Missing Information	0
Total	90

Table 19 – Household Information for Street Outreach

4e. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	1,127
Children	1,449
Don't Know/Refused/Other	0
Missing Information	0
Total	2,576

Table 20 – Household Information for Persons Served with ESG

5. Gender—Complete for All Activities

	Total
Male	787
Female	764
Transgender	7
Don't Know/Refused/Other	0
Missing Information	8
Total	1,566

Table 21 – Gender Information

6. Age—Complete for All Activities

	Total
Under 18	729
18-24	143
25 and over	686
Don't Know/Refused/Other	0
Missing Information	8
Total	1,566

Table 22 – Age Information

7. Special Populations Served—Complete for All Activities

Number of Persons in Households

Subpopulation	Total	Total Persons Served – Prevention	Total Persons Served – RRH	Total Persons Served in Emergency Shelters
Veterans	48	0	0	0
Victims of Domestic Violence	211	0	0	0
Elderly	41	0	0	0
HIV/AIDS	0	0	0	0
Chronically Homeless	105	0	0	0
Persons with Disabilities:				
Severely Mentally Ill	118	0	0	0
Chronic Substance Abuse	154	0	0	0
Other Disability	89	0	0	0
Total (Unduplicated if possible)	0	0	0	0

Table 23 – Special Population Served

CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

10. Shelter Utilization

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	69,694
Total Number of bed-nights provided	52,646
Capacity Utilization	75.54%

Table 24 – Shelter Capacity

11. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

The performance standards developed through consultation with the Continuum of Care are : 1)Number of individuals and families accessing homeless assistance services that enter permanent housing, 2) Number of households accessing homeless assistance program services increase or maintain their income upon exit, 3)Number of households accessing prevention services maintained their permanent housing; 4) Number of households accessing housing services enter permanent housing and 5) Number of unaccompanied youth access homeless assistance services return/enter permanent housing. The performance measures are discussed in Statewide CoC meetings as well as all Focus Group meetings (as described in Citizen Participation Plan) held before submitted the ESG Action Plan annually.

CR-75 – Expenditures

11. Expenditures

11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	2016	2017	2018
Expenditures for Rental Assistance	0	0	86,675
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	7,515
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	26,312
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	85,134
Subtotal Homelessness Prevention	0	0	205,636

Table 25 – ESG Expenditures for Homelessness Prevention

11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2016	2017	2018
Expenditures for Rental Assistance	0	0	200,431
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	53,483
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	67,651
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	0	108,741
Subtotal Rapid Re-Housing	0	0	430,306

Table 26 – ESG Expenditures for Rapid Re-Housing

11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	2016	2017	2018
Essential Services	0	0	155,579
Operations	0	0	277,579
Renovation	0	0	0

Major Rehab	0	0	0
Conversion	0	0	0
Subtotal	0	0	433,158

Table 27 – ESG Expenditures for Emergency Shelter

11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	2016	2017	2018
Street Outreach	0	0	10,773
HMIS	0	0	52,208
Administration	0	0	30,546

Table 28 - Other Grant Expenditures

11e. Total ESG Grant Funds

Total ESG Funds Expended	2016	2017	2018
	0	0	1,162,627

Table 29 - Total ESG Funds Expended

11f. Match Source

	2016	2017	2018
Other Non-ESG HUD Funds	0	0	61,750
Other Federal Funds	0	0	83,276
State Government	0	0	204,728
Local Government	0	0	46,638

Private Funds	0	0	249,724
Other	0	0	508,161
Fees	0	0	15,000
Program Income	0	0	0
Total Match Amount	0	0	1,169,277

Table 30 - Other Funds Expended on Eligible ESG Activities

11g. Total

Total Amount of Funds Expended on ESG Activities	2016	2017	2018
	0	0	2,331,904

Table 31 - Total Amount of Funds Expended on ESG Activities